

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L99999MH1996PLC132983

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACI7397D

(ii) (a) Name of the company

IIFL SECURITIES LIMITED

(b) Registered office address

IIFL House, Sun Infotech Park, Road No.  
Plot No.B-23, Thane Industrial Area, Wagle Estate  
Thane  
Maharashtra  
400604



(c) \*e-mail ID of the company

secretarial@iifl.com

(d) \*Telephone number with STD code

02261086300

(e) Website

www.iiflsecurities.com

(iii) Date of Incorporation

21/03/1996

| (iv) | Type of the Company | Category of the Company   | Sub-category of the Company   |
|------|---------------------|---------------------------|-------------------------------|
|      | Public Company      | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name                      | Code  |
|--------|--|-------|
| 1      | NATIONAL STOCK EXCHANGE OF INDIA LIMITED | 1,024 |
| 2      | BSE LIMITED                              | 1     |

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY


\*Number of business activities

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity                       | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|--|------------------------------|
| 1    | K                        | Financial and insurance Service    | K8                     | Other financial activities                             | 15                           |
| 2    | K                        | Financial and insurance Service    | K7                     | Financial Advisory, brokerage and Consultancy Services | 58                           |

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

Pre-fill All

| S.No | Name of the company  | CIN / FCRN            | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|--|-----------------------|--|------------------|
| 1    | IIFL FACILITIES SERVICES LIMIT  | U70102MH2007PLC176401 | Subsidiary                                   | 100              |

|    |                                 |                       |            |      |
|----|---------------------------------|-----------------------|------------|------|
| 2  | IIFL MANAGEMENT SERVICES L<br>+ | U93000MH2000PLC129816 | Subsidiary | 100  |
| 3  | LIVLONG INSURANCE BROKER'S<br>+ | U66010MH2005PLC154486 | Subsidiary | 95   |
| 4  | IIFL COMMODITIES LIMITED        | U51100TN2004PLC077573 | Subsidiary | 100  |
| 5  | IIFL SECURITIES SERVICES IFSC   | U65929GJ2018PLC103546 | Subsidiary | 100  |
| 6  | LIVLONG PROTECTION & WELL<br>+  | U74110MH2014PLC260200 | Subsidiary | 100  |
| 7  | INDIA INFOLINE FOUNDATION<br>+  | U80901MH2014NPL253380 | Subsidiary | 100  |
| 8  | IIFL CAPITAL INC.               |                       | Subsidiary | 100  |
| 9  | IIFL WEALTH (UK) LIMITED        |                       | Subsidiary | 100  |
| 10 | SHREYANS FOUNDATIONS LLP<br>+   |                       | Subsidiary | 99   |
| 11 | MEENAKSHI TOWERS LLP            |                       | Subsidiary | 99.5 |

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

| Particulars                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares             | 500,000,500        | 303,936,230    | 303,936,230        | 303,936,230     |
| Total amount of equity shares (in Rupees) | 1,000,001,000      | 607,872,460    | 607,872,460        | 607,872,460     |

Number of classes

1

| Class of Shares                           | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of equity shares                   | 500,000,500        | 303,936,230    | 303,936,230        | 303,936,230     |
| Nominal value per share (in rupees)       | 2                  | 2              | 2                  | 2               |
| Total amount of equity shares (in rupees) | 1,000,001,000      | 607,872,460    | 607,872,460        | 607,872,460     |

###### (b) Preference share capital

| Particulars                                   | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares             | 0                  | 0              | 0                  | 0               |
| Total amount of preference shares (in rupees) | 0                  | 0              | 0                  | 0               |

Number of classes

0

| Class of shares                               | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of preference shares                   |                    |                |                    |                 |
| Nominal value per share (in rupees)           |                    |                |                    |                 |
| Total amount of preference shares (in rupees) | 0                  | 0              |                    |                 |

**(c) Unclassified share capital**

| Particulars                         | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0                  |

**(d) Break-up of paid-up share capital**

| Class of shares                               | Number of shares |             |           | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------------|-----------|----------------------|----------------------|---------------|
|   | Physical         | DEMAT       | Total     |                      |                      |               |
| <b>Equity shares</b>                          |                  |             |           |                      |                      |               |
| <b>At the beginning of the year</b>           | 276,720          | 302,658,610 | 302935330 | 605,870,660          | 605,870,660          |               |
| <b>Increase during the year</b>               | 0                | 1,024,000   | 1024000   | 2,048,000            | 2,048,000            | 343,222,286   |
| i. Public Issues                              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ii. Rights issue                              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| iii. Bonus issue                              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| iv. Private Placement/ Preferential allotment | 0                | 0           | 0         | 0                    | 0                    | 0             |
| v. ESOPs                                      | 0                | 1,000,900   | 1000900   | 2,001,800            | 2,001,800            | 343,222,286   |
| vi. Sweat equity shares allotted              | 0                | 0           | 0         | 0                    | 0                    | 0             |
| vii. Conversion of Preference share           | 0                | 0           | 0         | 0                    | 0                    | 0             |
| viii. Conversion of Debentures                | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ix. GDRs/ADRs                                 | 0                | 0           | 0         | 0                    | 0                    | 0             |
| x. Others, specify                            | 0                | 23,100      | 23100     | 46,200               | 46,200               | 0             |
| <b>Decrease during the year</b>               | 23,100           | 0           | 23100     | 46,200               | 46,200               | 0             |
| i. Buy-back of shares                         | 0                | 0           | 0         | 0                    | 0                    | 0             |
| ii. Shares forfeited                          | 0                | 0           | 0         | 0                    | 0                    | 0             |

|                                     |         |             |           |             |             |   |
|-------------------------------------|---------|-------------|-----------|-------------|-------------|---|
| iii. Reduction of share capital     | 0       | 0           | 0         | 0           | 0           | 0 |
| iv. Others, specify                 | 23,100  | 0           | 23100     | 46,200      | 46,200      |   |
| Dematerilisation of shares          |         |             |           |             |             |   |
| <b>At the end of the year</b>       | 253,620 | 303,682,610 | 303936230 | 607,872,460 | 607,872,460 |   |
| <b>Preference shares</b>            |         |             |           |             |             |   |
| <b>At the beginning of the year</b> | 0       | 0           | 0         | 0           | 0           |   |
| <b>Increase during the year</b>     | 0       | 0           | 0         | 0           | 0           | 0 |
| i. Issues of shares                 | 0       | 0           | 0         | 0           | 0           | 0 |
| ii. Re-issue of forfeited shares    | 0       | 0           | 0         | 0           | 0           | 0 |
| iii. Others, specify                |         |             |           | 0           | 0           |   |
| -                                   |         |             |           |             |             |   |
| <b>Decrease during the year</b>     | 0       | 0           | 0         | 0           | 0           | 0 |
| i. Redemption of shares             | 0       | 0           | 0         | 0           | 0           | 0 |
| ii. Shares forfeited                | 0       | 0           | 0         | 0           | 0           | 0 |
| iii. Reduction of share capital     | 0       | 0           | 0         | 0           | 0           | 0 |
| iv. Others, specify                 |         |             |           | 0           | 0           |   |
| -                                   |         |             |           |             |             |   |
| <b>At the end of the year</b>       | 0       | 0           | 0         | 0           | 0           |   |

ISIN of the equity shares of the company

INE489L01022

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares              |                      | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |
| After split / Consolidation  | Number of shares     |     |      |       |
|                              | Face value per share |     |      |       |

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

|   |  |
|---|--|
| Date of the previous annual general meeting | <input style="width: 95%;" type="text"/> |
|---|--|

|  |  |
|--|--|
| Date of registration of transfer (Date Month Year) | <input style="width: 95%;" type="text"/> |
|--|--|

|                  |  |  |
|------------------|--|--|
| Type of transfer | <input style="width: 95%;" type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|--|--|

|   |  |   |  |
|---|--|---|--|
| Number of Shares/ Debentures/ Units Transferred | <input style="width: 95%;" type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input style="width: 95%;" type="text"/> |
|---|--|---|--|

|                            |  |
|----------------------------|--|
| Ledger Folio of Transferor | <input style="width: 95%;" type="text"/> |
|----------------------------|--|

|                   |  |  |  |
|-------------------|--|--|--|
| Transferor's Name | <input style="width: 95%;" type="text"/> | <input style="width: 95%;" type="text"/> | <input style="width: 95%;" type="text"/> |
|                   | Surname                                  | middle name                              | first name                               |

|                            |  |
|----------------------------|--|
| Ledger Folio of Transferee | <input style="width: 95%;" type="text"/> |
|----------------------------|--|

|                   |  |  |  |
|-------------------|--|--|--|
| Transferee's Name | <input style="width: 95%;" type="text"/> | <input style="width: 95%;" type="text"/> | <input style="width: 95%;" type="text"/> |
|                   | Surname                                  | middle name                              | first name                               |

|  |  |
|--|--|
| Date of registration of transfer (Date Month Year) | <input style="width: 95%;" type="text"/> |
|--|--|

|                  |  |  |
|------------------|--|--|
| Type of transfer | <input style="width: 95%;" type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|--|--|

|   |  |   |  |
|---|--|---|--|
| Number of Shares/ Debentures/ Units Transferred | <input style="width: 95%;" type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input style="width: 95%;" type="text"/> |
|---|--|---|--|

|                            |                      |                      |                      |  |
|----------------------------|----------------------|----------------------|----------------------|--|
| Ledger Folio of Transferor |                      | <input type="text"/> |                      |  |
| Transferor's Name          | <input type="text"/> | <input type="text"/> | <input type="text"/> |  |
|                            | Surname              | middle name          | first name           |  |
| Ledger Folio of Transferee |                      | <input type="text"/> |                      |  |
| Transferee's Name          | <input type="text"/> | <input type="text"/> | <input type="text"/> |  |
|                            | Surname              | middle name          | first name           |  |

**(iv) \*Debentures (Outstanding as at the end of financial year)**

| Particulars                   | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures    | 0               | 0                      | 0           |
| Partly convertible debentures | 0               | 0                      | 0           |
| Fully convertible debentures  | 0               | 0                      | 0           |
| <b>Total</b>                  |                 |                        | 0           |

**Details of debentures**

| Class of debentures           | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures    | 0   | 0                        | 0                        | 0                                     |
| Partly convertible debentures | 0   | 0                        | 0                        | 0                                     |
| Fully convertible debentures  | 0   | 0                        | 0                        | 0                                     |

**(v) Securities (other than shares and debentures)**

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value  | Paid up Value of each Unit | Total Paid up Value  |
|--------------------|----------------------|----------------------------|----------------------|----------------------------|----------------------|
|                    |                      |                            |                      |                            |                      |
|                    |                      |                            |                      |                            |                      |
| <b>Total</b>       | <input type="text"/> |                            | <input type="text"/> |                            | <input type="text"/> |

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

10,604,817,189

**(ii) Net worth of the Company**

8,787,177,187

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

| S. No. | Category                                | Equity           |            | Preference       |            |
|--------|---|------------------|------------|------------------|------------|
|        |   | Number of shares | Percentage | Number of shares | Percentage |
| 1.     | Individual/Hindu Undivided Family       |                  |            |                  |            |
|        | (i) Indian                              | 89,423,714       | 29.42      | 0                |            |
|        | (ii) Non-resident Indian (NRI)          | 0                | 0          | 0                |            |
|        | (iii) Foreign national (other than NRI) | 0                | 0          | 0                |            |
| 2.     | Government                              |                  |            |                  |            |
|        | (i) Central Government                  | 0                | 0          | 0                |            |
|        | (ii) State Government                   | 0                | 0          | 0                |            |
|        | (iii) Government companies              | 0                | 0          | 0                |            |
| 3.     | Insurance companies                     | 0                | 0          | 0                |            |
| 4.     | Banks                                   | 0                | 0          | 0                |            |
| 5.     | Financial institutions                  | 0                | 0          | 0                |            |
| 6.     | Foreign institutional investors         | 0                | 0          | 0                |            |
| 7.     | Mutual funds                            | 0                | 0          | 0                |            |
| 8.     | Venture capital                         | 0                | 0          | 0                |            |
| 9.     | Body corporate<br>(not mentioned above) | 5,719,500        | 1.88       | 0                |            |
| 10.    | Others                                  | 0                | 0          | 0                |            |
|        | <b>Total</b>                            | 95,143,214       | 31.3       | 0                | 0          |

**Total number of shareholders (promoters)**

8

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

| S. No. | Category | Equity           |            | Preference       |            |
|--------|----------|------------------|------------|------------------|------------|
|        |          | Number of shares | Percentage | Number of shares | Percentage |



|     |   |             |       |   |   |
|-----|---|-------------|-------|---|---|
| 1.  | Individual/Hindu Undivided Family               |             |       |   |   |
|     | (i) Indian                                      | 45,965,535  | 15.12 | 0 |   |
|     | (ii) Non-resident Indian (NRI)                  | 14,631,796  | 4.81  | 0 |   |
|     | (iii) Foreign national (other than NRI)         | 151,000     | 0.05  | 0 |   |
| 2.  | Government                                      |             |       |   |   |
|     | (i) Central Government                          | 0           | 0     | 0 |   |
|     | (ii) State Government                           | 0           | 0     | 0 |   |
|     | (iii) Government companies                      | 0           | 0     | 0 |   |
| 3.  | Insurance companies                             | 0           | 0     | 0 |   |
| 4.  | Banks   | 0           | 0     | 0 |   |
| 5.  | Financial institutions                          | 1,883       | 0     | 0 |   |
| 6.  | Foreign institutional investors                 | 50,359,710  | 16.57 | 0 |   |
| 7.  | Mutual funds                                    | 0           | 0     | 0 |   |
| 8.  | Venture capital                                 | 0           | 0     | 0 |   |
| 9.  | Body corporate<br>(not mentioned above)         | 87,520,016  | 28.8  | 0 |   |
| 10. | Others AIF, NBFC, IEPF, Trust , Clearing<br>+ + | 10,163,076  | 3.34  | 0 |   |
|     | <b>Total</b>                                    | 208,793,016 | 68.69 | 0 | 0 |

**Total number of shareholders (other than promoters)**

58,621

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

58,629

**(c) \*Details of Foreign institutional investors' (FIIs) holding shares of the company**

61

| Name of the FII                    | Address                             | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|------------------------------------|-------------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| AMERICAN CENTURY INVESTMENT<br>+   | DEUTSCHE BANK AG, DB HOUSE, H<br>+  |                       |                          | 25,305                |                  |
| CITY OF LOS ANGELES<br>+           | CITIBANK N.A. CUSTODY SERVICES<br>+ |                       |                          | 37,356                |                  |
| NATIONAL RAILROAD INVESTMENT<br>+  | CITIBANK N.A. CUSTODY SERVICES<br>+ |                       |                          | 20,848                |                  |
| SA EMERGING MARKET INVESTMENT<br>+ | DEUTSCHE BANK AG DB HOUSE, H<br>+   |                       |                          | 25,376                |                  |

| Name of the FII           | Address                              | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|---------------------------|--------------------------------------|-----------------------|--------------------------|-----------------------|------------------|
| TEACHERS' RETIREMEN<br>+  | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 134,214               |                  |
| ACADIAN EMERGING I<br>+   | DEUTSCHE BANK AG, DB HOUSE H<br>+    |                       |                          | 93,688                |                  |
| AMERICAN CENTURY E<br>+   | DEUTSCHE BANK AG, DB HOUSE, H<br>+   |                       |                          | 5,318                 |                  |
| EMERGING MARKETS C<br>+   | DEUTSCHE BANK AG, DB HOUSE H<br>+    |                       |                          | 89,174                |                  |
| SPDR PORTFOLIO EME<br>+   | HSBC SECURITIES SERVICES 11TH F<br>+ |                       |                          | 60,980                |                  |
| TEACHERS RETIREMEN<br>+   | JPMORGAN CHASE BANK N.A. IND<br>+    |                       |                          | 120,424               |                  |
| WORLD EX U.S. CORE I<br>+ | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 35,706                |                  |
| ACADIAN EMERGING I<br>+   | DEUTSCHE BANK AG DB HOUSE, H<br>+    |                       |                          | 90,017                |                  |
| BANK MUSCAT INDIA<br>+    | HSBC SECURITIES SERVICES 11TH F<br>+ |                       |                          | 12,598,222            |                  |
| BUMA-UNIVERSAL-FO<br>+    | JPMORGAN CHASE BANK N.A. IND<br>+    |                       |                          | 125,986               |                  |
| DFA INTERNATIONAL<br>+    | STANDARD CHARTERED BANK SEC<br>+     |                       |                          | 10,610                |                  |
| DIMENSIONAL EMERG<br>+    | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 1,934,846             |                  |
| DIMENSIONAL WORL<br>+     | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 75,939                |                  |
| EMERGING MARKETS S<br>+   | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 20,653                |                  |
| EMERGING MARKETS I<br>+   | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 14,638                |                  |
| EMERGING MARKETS S<br>+   | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 90,784                |                  |
| FLORIDA RETIREMENT<br>+   | DEUTSCHE BANK AG DB HOUSE, H<br>+    |                       |                          | 50,019                |                  |
| PREMIER INVESTMENT<br>+   | C/O KOTAK MAHINDRA BANK LIMI<br>+    |                       |                          | 420,320.01            |                  |
| QIC INTERNATIONAL E<br>+  | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 1,815                 |                  |
| SPARROW ASIA DIVER<br>+   | STANDARD CHARTERED BANK Sec<br>+     |                       |                          | 500                   |                  |
| WORLD EX U.S. TARGE<br>+  | CITIBANK N.A. CUSTODY SERVICES<br>+  |                       |                          | 26,423                |                  |

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

| Details                           | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters                         | 8                            | 8                      |
| Members<br>(other than promoters) | 35,864                       | 58,621                 |
| Debenture holders                 | 0                            | 0                      |

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

| Category                                 | Number of directors at the beginning of the year |               | Number of directors at the end of the year |               | Percentage of shares held by directors as at the end of year |               |
|--|--|---------------|--|---------------|--|---------------|
|  | Executive  | Non-executive | Executive                                  | Non-executive | Executive  | Non-executive |
| <b>A. Promoter</b>                       | 1  | 0             | 1  | 0             | 3.68   | 0             |
| <b>B. Non-Promoter</b>                   | 1  | 4             | 1  | 4             | 0.03   | 0             |
| (i) Non-Independent                      | 1  | 0             | 1  | 0             | 0  | 0             |
| (ii) Independent                         | 0  | 4             | 0  | 4             | 0.03   | 0             |
| <b>C. Nominee Directors representing</b> | 0  | 0             | 0  | 0             | 0  | 0             |
| (i) Banks & FIs                          | 0  | 0             | 0  | 0             | 0  | 0             |
| (ii) Investing institutions              | 0  | 0             | 0  | 0             | 0  | 0             |
| (iii) Government                         | 0  | 0             | 0  | 0             | 0  | 0             |
| (iv) Small share holders                 | 0  | 0             | 0  | 0             | 0  | 0             |
| (v) Others                               | 0  | 0             | 0  | 0             | 0  | 0             |
| Total                                    | 2  | 4             | 2  | 4             | 3.71   | 0             |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

| Name                   | DIN/PAN  | Designation       | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|------------------------|----------|-------------------|--------------------------------|--|
| VENKATARAMAN RAJ,<br>✚ | 00011919 | Managing Director | 11,184,432                     |  |

| Name                  | DIN/PAN    | Designation         | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-----------------------|------------|---------------------|--------------------------------|--|
| NARENDRA DESHMAL      | 01984467   | Whole-time director | 82,500                         |  |
| ANAND SHAILESH BAI    | 03084831   | Director            | 0                              |  |
| SHAMIK DAS SHARMA     | 07779526   | Director            | 0                              |  |
| REKHA GOPAL WARRIOR   | 08152356   | Director            | 0                              |  |
| VISWANATHAN KRISHNAN  | 09026252   | Director            | 0                              |  |
| RONAK DHIRAJLAL GADGI | APUPG7416D | CFO                 | 6,000                          |  |
| MEGHAL ABHISHEK SINGH | ACGPY2668A | Company Secretary   | 1,000                          |  |

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

1

| Name                 | DIN/PAN  | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|----------------------|----------|--|---|--|
| VISWANATHAN KRISHNAN | 09026252 | Director   | 30/06/2021  | Appointment as Independent Director                              |

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

| Type of meeting        | Date of meeting | Total Number of Members entitled to attend meeting | Attendance                 |                         |
|------------------------|-----------------|--|----------------------------|-------------------------|
|                        |                 |  | Number of members attended | % of total shareholding |
| Annual General Meeting | 30/06/2021      | 42,993   | 164                        | 0.38                    |

**B. BOARD MEETINGS**

\*Number of meetings held

8

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|--|------------------------------|-----------------|
|        |                 |  | Number of directors attended | % of attendance |
| 1      | 16/04/2021      | 6  | 6                            | 100             |
| 2      | 04/05/2021      | 6  | 6                            | 100             |
| 3      | 03/07/2021      | 6  | 6                            | 100             |

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance                   |                 |
|--------|-----------------|--|------------------------------|-----------------|
|        |                 |  | Number of directors attended | % of attendance |
| 4      | 22/07/2021      | 6  | 6                            | 100             |
| 5      | 24/09/2021      | 6  | 6                            | 100             |
| 6      | 21/10/2021      | 6  | 6                            | 100             |
| 7      | 06/12/2021      | 6  | 6                            | 100             |
| 8      | 24/01/2022      | 6  | 5                            | 83.33           |

### C. COMMITTEE MEETINGS

Number of meetings held

17

| S. No. | Type of meeting             | Date of meeting | Total Number of Members as on the date of the meeting | Attendance                 |                 |
|--------|-----------------------------|-----------------|---|----------------------------|-----------------|
|        |                             |                 |   | Number of members attended | % of attendance |
| 1      | Audit Committee             | 16/04/2021      | 4   | 4                          | 100             |
| 2      | Audit Committee             | 04/05/2021      | 4   | 4                          | 100             |
| 3      | Audit Committee             | 22/07/2021      | 4   | 4                          | 100             |
| 4      | Audit Committee             | 21/10/2021      | 4   | 4                          | 100             |
| 5      | Audit Committee             | 24/01/2022      | 4   | 3                          | 75              |
| 6      | Nomination and Remuneration | 04/05/2021      | 3   | 3                          | 100             |
| 7      | Nomination and Remuneration | 08/10/2021      | 3   | 3                          | 100             |
| 8      | Stakeholders Forum          | 08/10/2021      | 3   | 3                          | 100             |
| 9      | Risk Management             | 11/03/2022      | 4   | 4                          | 100             |
| 10     | Risk Management             | 15/09/2021      | 4   | 4                          | 100             |

### D. \*ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings   |                             |                 | Committee Meetings                                       |                             |                 | Whether attended AGM held on<br>17/06/2022<br>(Y/N/NA) |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|--|
|        |                      | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance |  |
| 1      | VENKATARAMAN         | 8  | 8                           | 100             | 5  | 5                           | 100             | Yes  |

|   |             |   |   |      |    |    |      |     |
|---|-------------|---|---|------|----|----|------|-----|
| 2 | NARENDRA D  | 8 | 8 | 100  | 15 | 15 | 100  | Yes |
| 3 | ANAND SHAIL | 8 | 8 | 100  | 11 | 11 | 100  | Yes |
| 4 | SHAMIK DAS  | 8 | 8 | 100  | 5  | 5  | 100  | Yes |
| 5 | REKHA GOPA  | 8 | 7 | 87.5 | 8  | 7  | 87.5 | Yes |
| 6 | VISWANATHA  | 8 | 8 | 100  | 9  | 9  | 100  | Yes |

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

| S. No. | Name           | Designation          | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others    | Total<br>Amount |
|--------|----------------|----------------------|--------------|------------|-------------------------------|-----------|-----------------|
| 1      | VENKATARAMAN I | Managing Director    | 40,865,340   | 0          | 0                             | 39,600    | 40,904,940      |
| 2      | NARENDRA DESH  | Whole- Time Director | 6,759,544    | 0          | 983,700                       | 1,258,600 | 9,001,844       |
|        | Total          |                      | 47,624,884   | 0          | 983,700                       | 1,298,200 | 49,906,784      |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

| S. No. | Name           | Designation       | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others  | Total<br>Amount |
|--------|----------------|-------------------|--------------|------------|-------------------------------|---------|-----------------|
| 1      | MEGHAL ABHISHE | Company Secretary | 1,459,230    | 0          | 36,900                        | 232,600 | 1,728,730       |
| 2      | RONAK DHIRAJLA | CFO               | 3,304,681    | 0          | 216,920                       | 600,000 | 4,121,601       |
|        | Total          |                   | 4,763,911    | 0          | 253,820                       | 832,600 | 5,850,331       |

Number of other directors whose remuneration details to be entered

4

| S. No. | Name            | Designation          | Gross Salary | Commission | Stock Option/<br>Sweat equity | Others    | Total<br>Amount |
|--------|-----------------|----------------------|--------------|------------|-------------------------------|-----------|-----------------|
| 1      | REKHA GOPAL WA  | Independent Director | 0            | 1,000,000  | 0                             | 405,000   | 1,405,000       |
| 2      | SHAMIK DAS SHAI | Independent Director | 0            | 1,000,000  | 0                             | 345,000   | 1,345,000       |
| 3      | ANAND SHAILESH  | Independent Director | 0            | 523,288    | 0                             | 510,000   | 1,033,288       |
| 4      | VISWANATHAN KF  | Independent Director | 0            | 191,781    | 0                             | 480,000   | 671,781         |
|        | Total           |                      | 0            | 2,715,069  | 0                             | 1,740,000 | 4,455,069       |

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
|  |  |               |  |                                |   |

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
|  |  |               |   |                        |                                   |

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Nilesh G. Shah

Whether associate or fellow

Associate  Fellow

Certificate of practice number

2631

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

|        |
|--------|
| Attach |
| Attach |
| Attach |
| Attach |

#### List of attachments

|              |
|--------------|
| Addendum.pdf |
|--------------|

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



## IIFL Securities Limited

## Details in respect of shares held by or on behalf of the FIIs/ FPIs.

| Sr. No | HWIC ASIA FUND CLASS A SHARES  | FII/FPI | Folio No/DP Id   | Address  | Pan No.    | Country Of Incorporation | Regn. No. with SEBI / RBI                      | No. of Share | % of share holding |
|--------|--|---------|------------------|--|------------|--------------------------|--|--------------|--------------------|
| 1      | AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF                                     | FPI     | IN30016710159933 | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   | AAHTA5157H |                          | INUSFP083219/NA                                | 25305        | 0.0083             |
| 2      | CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN   | FPI     | IN30005410032536 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    | AABTC0471C |                          | IN-US-FP-0652-14/NA                            | 37356        | 0.0123             |
| 3      | NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST  | FPI     | IN30005410019445 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    | AAATN9100A |                          | IN-US-FP-0060-16/N.A.                          | 20848        | 0.0069             |
| 4      | SA EMERGING MARKETS VALUE FUND   | FPI     | IN30016710041027 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI  | AAHTS0854M |                          | INUSFP116216/NA                                | 25376        | 0.0083             |
| 5      | TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC - EM SMALL CAP | FPI     | IN30005410114015 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    | AABTT8980F |                          | IN-US-FP-0359-21/ NA                           | 134214       | 0.0442             |
| 6      | ACADIAN EMERGING MARKETS EQUITY II FUND LLC  | FPI     | IN30016710087184 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI  | AAICA9999H |                          | INUSFP171515/NA                                | 93688        | 0.0308             |
| 7      | AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND                                  | FPI     | IN30016710160958 | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   | AAHTA6005C |                          | INUSFP004020/NA                                | 5318         | 0.0017             |
| 8      | EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC  | FPI     | IN30016710156689 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI  | AACCD1506B |                          | INUKFP032216/ NA                               | 89174        | 0.0293             |
| 9      | SPDR PORTFOLIO EMERGING MARKETS ETF  | FPI     | IN30014220772123 | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI                                  | AAGTS4584D |                          | INUSFP131416/A.P. (DIR SERIES) CIRCULAR NO 112 | 60980        | 0.0201             |
| 10     | TEACHERS RETIREMENT SYSTEM OF THE CITY OF NEW YORK   | FPI     | IN30343810013506 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI  | AACTT5549D |                          | INUSFP163115/CIRCULAR NO. 533                  | 120424       | 0.0396             |
| 11     | WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.                        | FPI     | IN30005410074905 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI                                    | AABCW4402G |                          | IN-US-FP-0317-16/NA                            | 35706        | 0.0117             |
| 12     | ACADIAN EMERGING MARKETS EQUITY FUND   | FPI     | IN30016710064916 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI  | AAHCA7953L |                          | INUSFP171715/NA                                | 90017        | 0.0296             |
| 13     | DFA INTERNATIONAL CORE EQUITY FUND   | FPI     | IN30152430049717 | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI  | AABTD3438N |                          | INCAFP268915/ NA                               | 34160        | 0.0112             |
| 14     | DOORDARSHI INDIA FUND LP .   | FPI     | IN30371911093321 | TOWER 3 WING B KOHINOOR CITY MALL KOHINOOR CITY KIROL ROAD KURLA WEST MUMBAI   | AASFD2556L |                          | INUSFP019721/ NA                               | 30000        | 0.0099             |
| 15     | EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC   | FPI     | IN30016710100587 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI  | AAAAE2450P |                          | INIRFP027616/NA                                | 97120        | 0.0320             |
| 16     | HABROK INDIA MASTER LP   | FPI     | IN30317320093468 | KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 2ND FLR ZONE I ,CUSTODY SERVS,INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI | AAKFH3387F |                          | INCYFP168617/ NA                               | 1676309      | 0.5515             |

|    |   |     |                  |   |            |  |  |          |        |
|----|---|-----|------------------|---|------------|--|--|----------|--------|
| 17 | HWIC ASIA FUND CLASS A SHARES   | FPI | IN30016710012117 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI   | AAACO4504G |  | INMUFP286716/EC.CO. FII/2207/11.01.02(156)/2000-2001 | 28362530 | 9.3317 |
| 18 | QUADRATURE CAPITAL VECTOR SP LIMITED  | FPI | IN30343810021660 | JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI  | AAACQ7172Q |  | INCYFP003521/CIRCULAR NO.533                         | 43787    | 0.0144 |
| 19 | SEI INSTITUTIONAL INVESTMENTS TRUST - SCREENED WORLD EQUITY EX-US FUND                  | FPI | IN30014210757580 | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI                              | AALTS7147M |  | INUSFP227617/A.P. (DIR SERIES) CIRCULAR NO 112       | 43051    | 0.0142 |
| 20 | 1199 SEIU HEALTH CARE EMPLOYEES PENSION FUND  | FPI | IN30005410069657 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AAATZ1075C |  | IN-US-FP-1706-16/NA                                  | 45090    | 0.0148 |
| 21 | 3M EMPLOYEE RETIREMENT INCOME PLAN TRUST - ACADIAN ASSET MANAGEMENT                     | FPI | IN30016710093195 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   | AAATE4159J |  | INUSFP289216/NA                                      | 35274    | 0.0116 |
| 22 | ACADIAN EMERGING MARKETS MICRO-CAP EQUITY MASTER FUND                                   | FPI | IN30016710166920 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI   | AAVCA4224C |  | INCYFP048921/ NA                                     | 78255    | 0.0257 |
| 23 | BANK MUSCAT INDIA FUND  | FPI | IN30014210645352 | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI                                | AADCB4040P |  | INMUFP205216/A.P. (DIR SERIES) CIRCULAR NO 112       | 12598222 | 4.1450 |
| 24 | BUMA-UNIVERSAL-FONDS I  | FPI | IN30343810008236 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI   | AABTB1124D |  | INGEFP004717/CIRCULAR NO. 533                        | 125986   | 0.0415 |
| 25 | DFA INTERNATIONAL VECTOR EQUITY FUND  | FPI | IN30152430049709 | STANDARD CHARTERED BANK SECURITIES SERVICES, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI   | AABTD3519Q |  | INCAFP268815/ NA                                     | 10610    | 0.0035 |
| 26 | DIMENSIONAL EMERGING MARKETS VALUE FUND   | FPI | IN30005410040054 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AACCD1578M |  | IN-US-FP-0337-16/N.A.                                | 1934846  | 0.6366 |
| 27 | DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST                    | FPI | IN30005410115788 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AAICD6956H |  | IN-US-FP-0614-21/ NA                                 | 75939    | 0.0250 |
| 28 | EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO  | FPI | IN30005410097678 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AAECE9320Q |  | IN-US-FP-0240-18/NA                                  | 20653    | 0.0068 |
| 29 | EMERGING MARKETS EX CHINA CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | FPI | IN30005410116787 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AAGCE7053A |  | IN-US-FP-0804-21/ NA                                 | 14638    | 0.0048 |
| 30 | EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.   | FPI | IN30005410017712 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI   | AABCE6264C |  | IN-US-FP-0315-16/N.A.                                | 90784    | 0.0299 |
| 31 | FLORIDA RETIREMENT SYSTEM - ACADIAN ASSET MANAGEMENT, INC.                              | FPI | IN30016710058090 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI   | AAAJF0103H |  | INUSFP146616/NA                                      | 50019    | 0.0165 |
| 32 | PREMIER INVESTMENT FUND LIMITED   | FPI | IN30317320000220 | C/O KOTAK MAHINDRA BANK LIMITED KOTAK INFINITI, BLDG.NO.21, 6TH FLR ZONE IV,CUSTODY SERVS, INFINITY PARK GEN. AK VAIDYA MARG, MALAD E, MUMBAI | AACCP9387L |  | INMUFP067016/.                                       | 42032    | 0.0138 |

|    |   |     |                  |  |            |  |  |        |        |
|----|---|-----|------------------|--|------------|--|--|--------|--------|
| 33 | QIC INTERNATIONAL EQUITIES FUND   | FPI | IN30005410084852 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI          | AAATQ0265H |  | IN-AU-FP-0713-16/NA                                  | 1815   | 0.0006 |
| 34 | SPARROW ASIA DIVERSIFIED OPPORTUNITIES FUND   | FPI | IN30152430046464 | STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI                  | AANCS3131Q |  | INMUFP178317/ NA                                     | 500    | 0.0002 |
| 35 | WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.      | FPI | IN30005410074841 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI          | AABCW4401F |  | IN-US-FP-0216-16/NA                                  | 26423  | 0.0087 |
| 36 | ACADIAN EMERGING MARKETS SMALL CAP EQUITY FUND LLC                                  | FPI | IN30016710118522 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AAMCA6207G |  | INUSFP171615/NA                                      | 796660 | 0.2621 |
| 37 | AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS VALUE ETF                       | FPI | IN30014210783250 | HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI | AAITA9218J |  | INUSFP100121/A.P. (DIR SERIES) CIRCULAR NO. 112      | 8473   | 0.0028 |
| 38 | CITY OF NEW YORK GROUP TRUST  | FPI | IN30014220774577 | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI        | AAATC8419K |  | INUSFP213516/A.P. (DIR SERIES) CIRCULAR NO 112       | 508723 | 0.1674 |
| 39 | DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND                                    | FPI | IN30016710128022 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AAFCD1235L |  | INIRFP127115/NA                                      | 8966   | 0.0029 |
| 40 | DOVETAIL INDIA FUND CLASS 8 SHARES  | FPI | IN30330710001568 | DBS BANK INDIA LTD FIRST FLOOR EXPRESS TOWERS NARIMAN POINT  | AAGCD0792B |  | INMUFP037818/NA                                      | 83107  | 0.0273 |
| 41 | LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM  | FPI | IN30005410009298 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI          | AAATL3381R |  | IN-US-FP-1757-17/EC.CO.FII/691/11.01.02(723)/2003-04 | 45483  | 0.0150 |
| 42 | SPDR S&P EMERGING MARKETS SMALL CAP ETF   | FPI | IN30014220772140 | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI        | AAHTS4805N |  | INUSFP131616/A.P. (DIR SERIES) CIRCULAR NO 112       | 84001  | 0.0276 |
| 43 | WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC.   | FPI | IN30005410102206 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI          | AAACW7548L |  | IN-MU-FP-0800-15/NA                                  | 166038 | 0.0546 |
| 44 | ACADIAN SUSTAINABLE EMERGING MARKETS EQUITY EX-FOSSIL FUEL FUND, LLC                | FPI | IN30016710143409 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AAPCA2422C |  | INUSFP151817/NA                                      | 3772   | 0.0012 |
| 45 | ALASKA PERMANENT FUND   | FPI | IN30016710021055 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI                                | AADTA7800K |  | INUSFP117816/NA                                      | 86404  | 0.0284 |
| 46 | DOVETAIL INDIA FUND CLASS 5 SHARES  | FPI | IN30330710001339 | DBS BANK INDIA LTD FIRST FLOOR EXPRESS TOWERS NARIMAN POINT  | AAGCD0792B |  | INMUFP241517/ NA                                     | 39500  | 0.0130 |
| 47 | INTEGRATED CORE STRATEGIES ASIA PTE LTD   | FPI | IN30016710088144 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AACCI4678K |  | INSGFP121916/NA                                      | 28556  | 0.0094 |
| 48 | RUSSELL INVESTMENT COMPANY PLC - ACADIAN EMERGING MARKETS EQUITY UCITS II           | FPI | IN30016710122568 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AAHCR0574L |  | INIRFP224316/NA                                      | 211350 | 0.0695 |
| 49 | TEACHERS' RETIREMENT SYSTEM OF THE STATE OF ILLINOIS - ACADIAN ASSET MANAGEMENT LLC | FPI | IN30016710143319 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                                | AABTT8980F |  | INUSFP147217/NA                                      | 147116 | 0.0484 |

|    |   |     |                  |   |            |  |                                  |         |        |
|----|---|-----|------------------|---|------------|--|----------------------------------|---------|--------|
| 50 | THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY                               | FPI | IN30005410013268 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AAATD7768G |  | IN-US-FP-0339-16/NA              | 43631   | 0.0144 |
| 51 | ACADIAN ALL COUNTRY WORLD EX US FUND  | FPI | IN30016710151343 | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                      | AAQCA2104A |  | INUSFP054418/NA                  | 45547   | 0.0150 |
| 52 | DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS VALUE TRUST                | FPI | IN30005410067527 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AABTD2064N |  | IN-AU-FP-0321-16/NA              | 29759   | 0.0098 |
| 53 | EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG) | FPI | IN30005410013410 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AACCD1644G |  | IN-US-FP-0314-16/N.A.            | 1093427 | 0.3598 |
| 54 | EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY                 | FPI | IN30016710100511 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                       | AABCE7501L |  | INIRFP027716/NA                  | 54672   | 0.0180 |
| 55 | EMERGING MARKETS TARGETED VALUE PORTFOLIO   | FPI | IN30005410100216 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AAFCE2416E |  | IN-US-FP-0735-18/NA              | 14244   | 0.0047 |
| 56 | JOHN HANCOCK FUNDS II EMERGING MARKETS FUND   | FPI | IN30005410080999 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AAATJ9049G |  | IN-US-FP-1649-15/NA              | 25293   | 0.0083 |
| 57 | JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST                                      | FPI | IN30005410078971 | CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | AAATJ9048H |  | IN-US-FP-0382-15/NA              | 28932   | 0.0095 |
| 58 | MV SCIF MAURITIUS   | FPI | IN30016710081087 | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI                       | AAGCM4803N |  | INMUFP003417/NA                  | 66198   | 0.0218 |
| 59 | POLUS GLOBAL FUND   | FPI | IN30134820032456 | ICICI BANK LTD SMS DEPT 1ST FLOOR EMPIRE COMPLEX 414 S B MARG LOWER PAREL MUMBAI                      | AAHCP1682K |  | INMUFP016615/22071<br>3/7        | 73890   | 0.0243 |
| 60 | STATE OF WISCONSIN INVESTMENT BOARD - ACADIANEMERGING EQUITY  | FPI | IN30016710056720 | DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI                       | AAALS0559G |  | INUSFP130916/NA                  | 59324   | 0.0195 |
| 61 | STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL   | FPI | IN30343810013442 | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI           | AAVCS6547E |  | INNLF147615/CIRCUL<br>AR NO. 533 | 430195  | 0.1415 |

**Committee Meetings** held during the year as per table below:

| Sr. No | Type of Meeting                           | Date of Meeting | Total No of members as on the dt. of the meeting | Attendance                 |                 |
|--------|---|-----------------|--|----------------------------|-----------------|
|        |   |                 |  | Number of members attended | % of attendance |
| 1.     | Audit Committee                           | 16.04.2021      | 4  | 4                          | 100             |
| 2.     | Audit Committee                           | 04.05.2021      | 4  | 4                          | 100             |
| 3.     | Audit Committee                           | 22.07.2022      | 4  | 4                          | 100             |
| 4.     | Audit Committee                           | 21.10.2021      | 4  | 4                          | 100             |
| 5.     | Audit Committee                           | 24.01.2022      | 4  | 3                          | 75              |
| 6.     | Nomination and Remuneration Committee     | 04.05.2021      | 3  | 3                          | 100             |
| 7.     | Nomination and Remuneration Committee     | 08.10.2021      | 3  | 3                          | 100             |
| 8.     | Stakeholders Relationship Committee       | 08.10.2021      | 3  | 3                          | 100             |
| 9.     | Risk Management Committee                 | 15.09.2021      | 4  | 4                          | 100             |
| 10.    | Risk Management Committee                 | 11.03.2022      | 4  | 4                          | 100             |
| 11.    | Corporate Social Responsibility Committee | 20.04.2021      | 3  | 3                          | 100             |
| 12.    | Corporate Social Responsibility Committee | 24.03.2022      | 3  | 3                          | 100             |
| 13.    | Finance Committee                         | 07/06/2021      | 3  | 3                          | 100             |
| 14.    | Finance Committee                         | 22.06.2021      | 3  | 3                          | 100             |
| 15.    | Finance Committee                         | 15.07.2021      | 3  | 3                          | 100             |
| 16.    | Finance Committee                         | 10.02.2022      | 3  | 3                          | 100             |
| 17.    | Finance Committee                         | 22.03.2022      | 3  | 3                          | 100             |